10/593015

Docket No.: 13987-00023-US (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Helke Hillebrand et al.

Application No.: National Phase of

PCT/EP2005/002735

Confirmation No.: N/A

Filed: Concurrently Herewith Art Unit: N/A

For: POST HARVEST CONTROL OF

GENETICALLY MODIFIED CROP

GROWTH EMPLOYING D-AMINO ACID

COMPOUNDS

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

Of the documents listed on the attached SB/08 are the documents cited in an International Search Report during the prosecution of international application no. PCT/EP2005/002735, which corresponds to the above referenced application. In accordance with 37 CFR 1.97(b)(2), Applicants hereby submit these documents for the Examiner's consideration. A copy of each document required under 37 CFR 1.98(a)(2) is enclosed.

Application No.: National Phase of PCT/EP2003/ADZV&REC'd PCT/PTO 15 SEP 2006.

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In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such. Moreover, Applicants understand the Examiner will make an independent evaluation of the cited documents.

Applicants believe no fee is due with this response. However, if a fee is due, the Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 13987-00023-US from which the undersigned is authorized to draw.

Respectfully submitted,

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Registration No.: 57,209

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Sheet	1	of	6	Attorney Docket Number	13987-00023-US	

	U.S. PATENT DOCUMENTS							
Examiner Initials*								
	AA*	US-5,358,866	10-25-1994	Mullen et al.				

	. ,	FOREIG	GN PATENT	DOCUMENTS	_	
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code³-Number⁴-Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	ВА	WO-92/22280	12-23-1992	Richardson Vicks, Inc.	<u> </u>	\Box
	ВВ	WO-93/01281	01-21-1993	The United States of America, represented by the Secretary, Department of Health & Human Services		
	BC	WO-97/37012	10-09-1997	Commonwealth Scientific and Industrial Research Organisation and The Australian National University		
	BD	WO-00/37060	06-29-2000	National Research Council of Canada		
	BE	WO-02/10415	02-07-2002	University of Connecticut		
	BF	WO-02/29071	04-11-2002	Maxygen, Inc.		
	BG	WO-03/004659	01-16-2003	SunGene GmbH & Co. KGAA and Institut F. Pflanzengenetik	See CA 2 451 492	
	ВН	WO-03/060133	07-24-2003	Swetree Technologies AB		
	ВІ	WO-03/072792	09-04-2003	Syngenta Limited	-	
	BJ -	WO-2004/013333	02-12-2004	BASF Plant Science GmbH	See CA 2 493 364	
	BK	EP-0 595 837	05-11-1994	Richardson Vicks Inc.	See WO92/22280	
:	BL	CA-2 451 492	01-16-2003	SunGene GmbH & Co. KGAA and Institut F. Pflanzengenetik		
	ВМ	CA-2 493 364	02-12-2004	BASF Plant Science GmbH		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). * ¹For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
-	CA	"D-Amino-Acid Oxidase (EC1.4.3.3)(DAMOX)(DAO)(DAO)", UniProt Database Accession No. P24552, Entry Name: OXDA_FUSSO, March 1, 1992.					
	СВ	"D-Amino-Acid Oxidase (EC 1.4.3.3)(DAMOX)(DAO)(DAAO)", UniProt Database Accession No. P80324, Entry Name: OXDA_RHOTO, November 1, 1995.					

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				Art Unit	N/A		
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Sheet	2	of	6	Attorney Docket Number	13987-00023-US		

CC	"D-Amino-Acid Oxidase (EC1.4.3.3)(DAMOX)(DAO)(DAO)", UniProt Database Accession No. Q99042, Entry Name: OXDA_TRIVR, November 1, 1997.
CD	
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CG	
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CI	Agrawal, A. et al., "Transposition Mediated by RAG1 and RAG2 and Its Implications for the Evolution of the Immune System", Nature (1998), 394: 744-751.
C1	Alonso, J. et al., "D-Amino-Acid Oxidase Gene from <i>Rhodotorula gracilis (Rhodosporidium toruloides)</i> ATCC 26217", Microbiology (1998), 144: 1095-1101.
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CL	Belfort, M. et al., "Homing Endonucleases: Keeping the House in Order", Nucleic Acids Research (1997), 25(17): 3379-3388.
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cs	Corneille, S. et al., "Efficient Elimination of Selectable Marker Genes from the Plastid Genome by the CRE-lox Site-Specific Recombination System", The Plant Journal (2001), 27(2): 171-178.
СТ	Cosloy, S. D. et al., "Metabolism of D-Serine in <i>Escherichia coli</i> K-12: Mechanism of Growth Inhibition", Journal of Bacteriology (1973), 114(2): 685-694.
CU	
CV	Czakó, M. et al., "The Herpes Simplex Virus Thymidine Kinase Gene as a Conditional Negative-Selection Marker Gene in <i>Arabidopsis thaliana</i> ", Plant Physiol. (1994), 104: 1067-1071.
cv	
СХ	Depicker, A. G. et al., "A Negative Selection Scheme for Tobacco Protoplast-Derived Cells Expressing the T-DNA Gene 2", Plant Cell Reports (1988), 7: 63-66.
CY	Dixon, M. et al, "D-Amino Acid Oxidase, I. Dissociation and Recombination of the Holoenzyme", Biochimica et Biophysica Acta (1965), 96: 357-367.

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PTO/SB/08a/b (07-06)

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				Art Unit	N/A	
	(Use as many she ets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	3	of	6	Attorney Docket Number	13987-00023-US	

CZ	Eddy, S. R. et al., "The Phage T4 nrdB Intron: A Deletion Mutant of a Version Found in the Wild", Genes & Development (1991), 5: 1032-1041.	
CA1	Erikson, O. et al., "A Conditional Marker Gene Allowing Both Positive and Negative Selection in Plants", Nature Biotechnology (2004), 22(4): 455-458.	
CB1	Fedoroff, N. V. et al., "A Versatile System for Detecting Transposition in <i>Arabidopsis</i> ", The Plant Journal (1993), 3(2): 273-289.	
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CD1	Gabler, M. et al., "Detection and Substrate Selectivity of New Microbial D-Amino Acid Oxidases", Enzyme and Microbial Technology (2000), 27: 605-611.	
CE1	Gallego, M. E. et al., "Positive-Negative Selection and T-DNA Stability in <i>Arabidopsis</i> Transformation", Plant Molecular Biology (1999), 39: 83-93.	
CF1	Gamburg, K. Z. et al., "Formation and Functions of D-Amino Acids in Plants", Siberian Institute of Plant Physiology and Biochemistry, Siberian Branch, Russian Academy of Sciences, Irkutsk, Translated from Fiziologiya Rastenii (1991), 38(6): 1236-1246.	
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CH1	Guo, H. et al., "Group II Intron Endonucleases Use Both RNA and Protein Subunits for Recognition of Specific Sequences in Double-Stranded DNA", The EMBO Journal (1997), 16(22): 6835-6848.	
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CJ1	Haren, L. et al., "Integrating DNA: Transposases and Retroviral Integrases", Annu. Rev. Microbiol. (1999), 53: 245-281.	
CK1	Huang, B. et al., "Splase: A New Class IIS Zinc-Finger Restriction Endonuclease with Specificity for Sp1 Binding Sites", Journal of Protein Chemistry (1996), 15(5): 481-489.	
CL1	Janssen, D. B. et al., "Cloning of 1,2-Dichloroethane Degradation Genes of Xanthobacter autotrophicus GJ10 and Expression and Sequencing of the dhIA Gene", Journal of Bacteriology (1989), 171(12): 6791-6799.	
CM1	Janssen, D. B. et al., "Genetics and Biochemistry of Dehalogenating Enzymes", Annu. Rev. Microbiol. (1994), 48: 163-91.	
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CS1	Kobayashi, T. et al., "A Conditional Negative Selection for <i>Arabidopsis</i> Expressing a Bacterial Cytosine Deaminase Gene", Jpn. J. Genet. (1995), 70: 409-422.	

Examiner	Date
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Sheet	4	of	6	Attorney Docket Number	13987-00023-US	

CT1	Koprek, T. et al., "Negative Selection Systems for Transgenic Barley (Hordeum vulgare L.):	
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CU1	Lyznik, L. A. et al., "FLP-Mediated Recombination of FRT Sites in the Maize Genome", Nucleic Acids Research (1996), 24(19): 3784-3789.	
CV1	Marshall, P. et al., "Cleavage Pattern of the Homing Endonuclease Encoded by the Fifth Intron in the Chloroplast Large Subunit rRNA-encoding Gene of Chlamydomonas Eugametos", Gene (1991), 104: 241-245.	
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CX1	McKnight, S. L., "The Nucleotide Sequence and Transcript Map of the Herpes Simplex Virus Thymidine Kinase Gene", Nucleic Acids Research (1980), 8(24): 5949-5964.	
CY1	McKnight, S. L. et al., "Expression of the Herpes Thymidine Kinase Gene in Xenopus laevis Oocytes: An Essay for the Study of Deletion Mutants Constructed In Vitro", Nucleic Acids Research (1980), 8(24): 5931-5948.	
CZ1	Meister, A. et al., "Chapter 26: Flavoprotein Amino Acid Oxidases", in The Enzymes, Boyer et al. eds., 2nd Ed., Vol. 7, Academic Press, 1963, pp. 609-648.	
CA2	Miyano, M. et al., "Studies on Phe-228 and Leu-307 Recombinant Mutants of Porcine Kidney D-Amino Acid Oxidase: Expression, Purification and Characterization", J. Biochem. (1991), 109(1): 171-177.	
CB2	Mohr, G. et al., "Rules for DNA Target-Site Recognition by a Lactococcal Group II Intron Enable retargeting of the Intron to Specific DNA Sequences", Genes & Development (2000), 14: 559-573.	
CC2	Monnat, R. J. et al., "Generation of Highly Site-Specific DNA Double-Strand Breaks in Human Cells by the Homing Endonucleases I- <i>Ppo</i> I and I- <i>Crel</i> ", Biochemical and Biophysical Research Communications (1999), 255: 88-93.	
CD2	Mullen, C. A. et al., "Transfer of the Bacterial Gene for Cytosine Deaminase to Mammalian Cells Confers Lethal Sensitivity to 5-Fluorocytosine: A Negative Selection System", Proc. Natl. Acad. Sci. USA (1992), 89: 33-37.	
CE2	Naested, H. et al., "A Bacterial Haloalkane Dehalogenase Gene as a Negative Selectable Marker in <i>Arabidopsis</i> ", The Plant Journal (1999), 18(5): 571-576.	
CF2	Negri, A. et al., "The Primary Structure of the Flavoprotein D-Aspartate Oxidase from Beef Kidney", The Journal of Biological Chemistry (1992), 267(17): 11865-11871.	
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CH2	O'Keefe, D. P. et al., "Plant Expression of a Bacterial Cytochrome P450 That Catalyzes Activation of a Sulfonylurea Pro-Herbicide", Plant Physiol. (1994), 105: 473-482.	
CI2	Onouchi, H. et al., "Visualization of Site-Specific Recombination Catalyzed by a Recombinase from <i>Zygosaccharomyces rouxii</i> in <i>Arabidopsis thaliana</i> ", Mol. Gen. Genet. (1995), 247: 653-660.	
CJ2	Osborne, B. I. et al., "A System for Insertional Mutagenesis and Chromosomal Rearrangement Using the Ds Transposon and Cre-lox", The Plant Journal (1995), 7(4): 687-701.	
CK2	Ow, D. W. et al., "Genome Manipulation Through Site-Specific Recombination", Critical Reviews in Plant Sciences (1995), 14(3): 239-261.	
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CM2	Pilone, M. S. et al., "D-Amino Acid Oxidase: New Findings", Cellular and Molecular Life Sciences (2000), 57: 1732-1747.	

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Sheet	5	of	6	Attorney Docket Number	13987-00023-US

CN2	Preston, C. M. et al., "Identification and Mapping of Two Polypeptides Encoded Within the Herpes Simplex Virus Type 1 Thymidine Kinase Gene Sequences", Journal of Virology (1981), 38(2): 593-605.
CO2	Rao, D. N. et al., "ATP-Dependent Restriction Enzymes", Progress in Nucleic Acid Research and Molecular Biology (2000), 64: 1-63.
CP2	Risseeuw, E. et al., "Gene Targeting and Instability of Agrobacterium T-DNA Loci in the Plant Genome", The Plant Journal (1997), 11(4): 717-728.
CQ2	Russell, S. H. et al., "Directed Excision of a Transgene from the Plant Genome", Mol. Genet. Genet. (1992), 234: 49-59.
CR2	Salomon, S. et al., "Capture of Genomic and T-DNA Sequences During Double-Strand Break Repair in Somatic Plant Cells", The EMBO Journal (1998), 17(20): 6086-6095.
CS2	Sargueil, B. et al., "A New Specific DNA Endonuclease Activity in Yeast Mitochondria", Mol. Gen. Genet. (1991), 225: 340-341.
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CV2	Serino, G. et al., "A Negative Selection Scheme Based on the Expression of Cytosine Deaminase in Plastids", The Plant Journal (1997), 12(3): 697-701.
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CA3	Sundaresan, V. et al., "Patterns of Gene Action in Plant Development Revealed by Enhancer Trap and Gene Trap Transposable Elements", Genes & Development (1995), 9: 1797-1810.
CB3	Szybalski, W. et al., "Class-IIS Restriction Enzymes - A Review", Gene (1991), 100: 13-26.
CC3	Thykjaer, T. et al, "Gene Targeting Approaches Using Positive-Negative Selection and Large Flanking Regions", Plant Molecular Biology (1997), 35: 523-530.
CD3	Tissier, A. F. et al., "Multiple Independent Defective Suppressor-mutator Transposon Insertions in Arabidopsis: A Tool for Functional Genomics", The Plant Cell (1999), 11: 1841-1852.
CE3	Turmel, M. et al., "Analysis of the Chloroplast Large Subunit Ribosomal RNA Gene from 17 Chlamydomonas Taxa – Three Internal Transcribed Spacers and 12 Group I Intron Insertion Sites", J. Mol. Biol. (1993), 232: 446-467.

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CF3	Turmel, M. et al., "Evolutionary Transfer of ORF-Containing Group I Introns between Different Subcellular Compartments (Chloroplast and Mitochondrion)", Mol. Biol. Evol. (1995), 12(4): 533-545.	
CG3	Turmel, M. et al., "The Site-Specific DNA Endonuclease Encoded by a Group I Intron in the Chlamydomonas pallidostigmatica Chloroplast Small Subunit rRNA Gene Introduces a Single-Strand Break at Low Concentrations of Mg ²⁺ ", Nucleic Acids Research (1995), 23(13): 2519-2525.	
СНЗ	Umhau, S. et al., "The X-Ray Structure of D-Amino Acid Oxidase at Very High Resolution Identifies the Chemical Mechanism of Flavin-Dependent Substrate Dehydrogenation", Proc. Natl. Acad. Sci. USA (2000), 97(23): 12463-12468.	
CI3	Upadhyaya, N. M. et al., "The <i>tms</i> 2 Gene as a Negative Selection Marker in Rice", Plant Molecular Biology Reporter (2000), 18: 227-233.	
CJ3	Wagner, M. J. et al., "Nucleotide Sequence of the Thymidine Kinase Gene of Herpes Simplex Virus Type I", Proc. Natl. Acad. Sci. USA (1981), 48(3): 1441-1445.	
СКЗ	Wang, J. et al., "Purification, Biochemical Characterization and Protein-DNA Interactions of the I-Crel Endonuclease Produced in <i>Escherichia coli</i> ", Nucleic Acids Research (1997), 25(19): 3767-3776.	
CL3	Wang, H. X. et al., "Positive-Negative Selection for Homologous Recombination in Arabidopsis", Gene (2001), 272: 249-255.	
CM3	Wigler, M. et al., "Transfer of Purified Herpes Virus Thymidine Kinase Gene to Cultured Mouse Cells", Cell (1977), 11: 223-232.	
CN3	Xiao, YL. et al., "Intrachromosomal Homologous Recombination in Arabidopsis Induced by a Maize Transposon", Mol. Gen. Genet. (2000), 263: 22-29.	
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CP3	Zubko, E. et al., "Intrachromosomal Recombination between attP Regions as a Tool to Remove Selectable Marker Genes from Tobacco Transgenes", Nature Biotechnology (2000), 18: 442-445.	-

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Date	
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